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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete If Known		
			Application Number	10/690,411 – Conf.#5949	
			Filing Date	October 21, 2003	
			First Named Inventor	Gary O. Maupin	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	05500-00073-USC

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
KS	AA**	US-2,316,932	04/1943	Bruce	
	AB**	US-5,447,122	09/1995	Cortner	
	AC**	US-3,902,461	09/1975	Cortner	
	AD**	US-5,272,832	12/1993	Marshall, et al.	
	AE**	US-4,074,659	02/1978	Mowbray, et al.	
	AF**	US-5,806,237	09/1998	Nelson, et al.	
	AG**	US-4,324,202	04/1982	Stonestreet, et al.	
	AH**	US-5,027,747	07/1991	Talley	
	AI**	US-2,925,065	02/1960	Worden	
	AJ**	US-1,569,904	01/1926	Wright	
	AK**	US-1,582,144	04/1926	Pflaum	
	AL**	US-416,951	12/1889	Roop	
	AM**	US-5,232,940	08/1993	Hatton, et al.	
	AN**	US-5,448,852	09/1995	Spragins, et al.	
	AO**	US-6,395,765	05-28-2002	Etchegaray	
	AP**	US-6,162,820	12-19-2000	Jeannin, et al.	
	AQ**	US-4,662,104	05-05-1987	Mather, et al.	
	AR**	US-5,932,437	08-03-1999	Poche	
	AS**	US-5,367,983	11-29-1994	Pound, et al.	
	AT**	US-4,281,471	08/1981	Jenkins, et al.	
	AU**	US-5,983,558	11/1999	Las, et al.	
	AV**	US-4,753,032	06/1988	Sherman	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
KS +	BA**	WO-98/42191	10-01-1998	Merial		
	BB**	EP-0 295 117	12-14-1988	May & Baker Limited		
	BC**	WO-97 12521	04-10-1997	Rhone Merieux		
	BD**	WO-98/02042	01-22-1998	Merial		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (**) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	† ²
KS	CA**	Copies of slides presented at oral presentations by inventors or their assistants prior to June 16, 1999.	
	CB**	Cover page and pages 1, 5 and 16 of booklet prepared by American Lyme Disease	

Examiner Signature	Date Considered
<i>Kim Smith</i>	11/9/04

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Sheet	2	of	2	Attorney Docket Number	05500-00073-USC

KB		Foundation, Inc., including abstract of presentation given by inventor Gary O. Maupin on March 8, 1999 (date of booklet unknown).	
KB	CC**	Gage, et al., Flea (Siphonaptera: Ceratophyllidae, Hystrichopsyllidae) and Tick (Acarina: Ixodidae) Control on Wood Rats Using Host-Targeted Liquid Permethrin in Bait Tubes; J. Med. Entomol., pp. 46-51, Vol. 34, no. 1 (January 1997).	
	CD**	Lane, et al., Modified bait tube controls disease-carrying ticks and fleas; California Agriculture; Volume 52, Number 2, cover page and pages 3, 43-48 (March-April 1998).	
	CE**	Barnes; Surveillance and Control of Bubonic Plague in the United States; Symp. Zool. Soc. Lond., No. 50, 237-270 (1982).	
	CF**	Kartman; An Insecticide-Bait Box Method for Plague Control in Certain Areas of the Pacific Region; Proceedings of the Ninth Pacific Science Congress, volume 19, pp. 49-52 (1957).	
	CG**	Sonenshine and Haines, A Convenient Method for Controlling Populations of the American Dog Tick, Dermacentor Variabilis (Acari: Ixodidae), In the Natural Environment, J. Med. Entomol., Vol. 22, No. 5, pp. 577-583 (September 1985).	
	CH**	Kartman; Further Observations on an Insecticide-bait-box Method for the Control of Sylvatic Plague Vectors; Effect of Prolonged Field Exposure to DDT Powder; J. Hyg., Camb., Vol. 58, pp 119-124 (1960).	
	CI**	Kartman; An Insecticide-Bait-Box Method for the Control of Sylvatic Plague Vectors; J. Hyg., Volume LVI, pp. 455-465 (1958).	
	CJ**	Barnes and Kartman; Control of Plague Vectors on Diurnal Rodents in the Sierra Nevada of California by Use of Insecticide Bait-Boxes; J. Hyg., Camb. Volume 58, pp. 347-355 (1960).	
	CK**	Morris, K.D., Proctor, R.D., and Kaukeinen, D.E., "Design and Evaluation Criteria for Development of Toxic Wicks for Rodent Control," Vertebrate Pest Control and Management Materials: Fourth Symposium, ASTM STP 817, D.E. Kaukeinen, Ed., American Society for Testing and Materials; Philadelphia, 1983, pp. 165-182.	
	CL**	Maupin, et al., "Laboratory and Field Evaluation of Rodent-Target Acaricides for Controlling Ixodes spp," poster presentation of VII International Conference on Lyme Borreliosis and Other Emerging Tick-Borne Diseases, Munich, Germany, presented June 22, 1999.	
	CM**	J. S. Hunter et al.: "A Comparison of the Tick Efficacy of Frontline Spray Treatment Against the American Dog Tick and Brown Dog Tick," ABSTRACT; PROC. AM. ASSOC. VET. PARASITOL. (41 MEET., 51, 1996).	
	CN**	U.S. Patent Application Serial No. 10/282,984, 10/28/2002, which is a continuation of Serial No. 09/595,177, filed June 16, 2000, Maupin, et al., "Control of Arthropods in Rodents," Examiner Neil Levy, Art Unit 1616 (now US-2003-0134877).	
	CO**	U.S. Patent Application Serial No. 10/282,539, 10/28/2002, which is a continuation of Serial No. 09/595,035, filed June 16, 2000, Maupin, et al., "Control of Arthropod Vectors of Parasitic Diseases," Examiner Neil Levy, Art Unit 1616 (now US-2003-0087800).	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (**) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>Kim Sutter</i>	Date Considered	11/9/04
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